Education & Technical Assistance

Provided by:

Colorado State Patrol Motor Carrier Safety Assistance Program





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INTRODUCTION

This booklet provides basic compliance guidance to the Federal Motor Carrier Safety Regulations (FMCSRs). However, it is not intended to be a substitute for these regulations.

To access the FMCSRs, please refer to the next page for suppliers and website information.

The Colorado State Patrol Motor Carrier Safety Section is committed is to improve the safe transportation of passengers and goods on the nation's highways, through a coordinated effort of Federal, State, and industry organizations to reduce fatalities, injuries, property damage and Hazardous Materials incidents.

This booklet is comprised of various parts, each containing a specific safety Regulation topic that is covered in the FMCSRs. Each part contains information sheets that cover the highlights of that section. Please feel free to reproduce any or all material in this package and to distribute copies as needed. You may also obtain this information on the FMCSA website at www.fmcsa.dot.gov.

It is the responsibility of motor carrier operators and drivers to know and comply with all applicable FMCSRs. Safety compliance and safe operations translate into saved lives and property. We believe the information in this package, when effectively applied, will contribute to safer motor carrier operations and highways.

Disclaimer

Although we make every effort to assure that the information we provide is complete and accurate it is not intended to take the place of published agency regulations. This document only paraphrases the Federal Motor Carrier Safety Regulations published in Title 49 of the U.S. Code of Federal Regulations. The contents may not be relied upon as a substitute for the official text. The regulations issued by the U.S. Department of Transportation and its Operating Administrations are published in the Federal Register and compiled in the U.S. Code of Federal Regulations (CFR). Copies of appropriate volumes of the CFR in book format may be purchased from the Superintendent of Documents, U.S Government Printing Office, or examined at many libraries.

Useful Web Sites

Colorado State Patrol Motor Carrier Safety-

https://www.colorado.gov/csp/mcsap

Commercial Vehicle Size (Length and Width) Standards

CDOT permits - www.coloradodot.info/business/permits/truckpermits

www.ops.fhwa.dot.gov/freight/sw/overview/index.htm#2a

Company Profiles - <u>www.safersys.org</u>

Compliance, Safety & Accountability - <u>http://csa.fmcsa.dot.gov/default.aspx</u>

Data Q Challenge web site - <u>dataqs.fmcsa.dot.gov</u>

DOT Home Page <u>- www.fmcsa.dot.gov/</u>

Education Materials and Forms

https://www.fmcsa.dot.gov/safety/carrier-safety/motor-carriers-guide-improving-highway-safety

FMCSA Spanish Web Site - <u>www.fmcsa.dot.gov/espanol/english/index.htm</u>

Federal Size Regulations for Commercial Motor Vehicles

www.ops.fhwa.dot.gov/freight/publications/size_regs_final_rpt/index.htm

Hazardous Materials - phmsa.dot.gov/hazmat

PSP- Pre-screening for Employee Program - <u>www.psp.fmcsa.dot.gov</u>

Colorado Port of Entry web site - https://www.colorado.gov/csp/port-entry

Part 387

Minimum Levels of Financial Responsibility for Motor Carriers



Requirements For Financial Responsibility

Motor carriers of property operating commercial motor vehicles in interstate, foreign, or carriers transporting hazardous materials, hazardous substances, or hazardous wastes in intrastate commerce, and for-hire carriers of passengers operating in interstate or foreign commerce must have at least the minimum amount of insurance required by law.

Financial responsibility means having insurance policies or surety bonds sufficient to satisfy the minimum public liability requirements.

Public liability means liability for bodily injury, property damage, and environmental restoration.

Environmental restoration means restitution for the loss, damage, or destruction of natural resources arising out of an accidental discharge of toxic or other environmentally harmful materials or liquids.

Proof

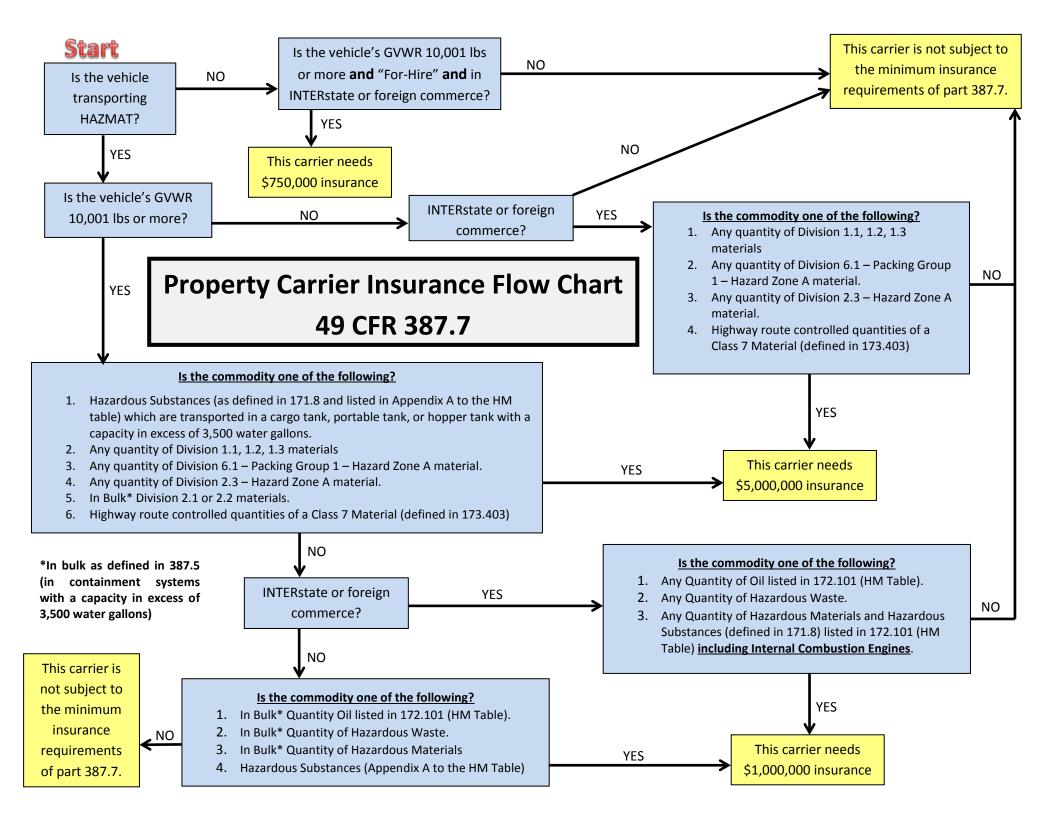
The motor carrier must have proof of the minimum level of insurance at the company's principal place of business.

Proof may be shown by any of the following:

1) Endorsements for Motor Carriers policies of insurance for public liability under Sections 29 and 30 of the Motor Carrier Act of 1980 (Form MCS-90) issued by an insurer.

2) A Motor Carrier Surety Bond for public liability under Section 30 of the Motor Carrier Act of 1980 (Form MCS-82) issued by an insurer.

3) A written decision, order or authorization of the Federal Motor Carrier Safety Administration authorizing the motor carrier to self-insure under 49 CFR 387.309.



SCHEDULE OF LIMITS (Public liability)

| (1) For-hire (In interstate or foreign commerce, with a gross vehicle weight rating of 10,001 or more pounds).Property (nonhazardous)\$750,000(2) For-hire and Private (In interstate, foreign, or intrastate commerce, with a gross vehicle weight rating of 10,001 or more pounds).Hazardous substances, as defined in 49 CFR 171.8 transported in cargo tanks, portable tanks, or hopper- type vehicles with capacities in excess of 3,500 water gallons; or in bulk Division 1.1, 1.2, and 1.3 materials, Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; in bulk Division 2.1 or 2.2; or highway route controlled quantities of a Class 7 material, as defined in 49 CFR \$173.403\$1,000,000(3) For-hire and Private (In interstate or foreign commerce; in any quantity; or in intrastate commerce, in bulk only; with a gross vehicle weight rating of 10,001 or more pounds).Oil listed in 49 CFR 172.101; but not mentioned in (2) above or (4) below\$1,000,000(4) For-hire and Private (In interstate or foreign commerce, with a gross vehicle weight rating of 10,001 or more pounds).Any quantity of Division 1.1, 1.2, or 1.3 material; any quantity of Division 1.1, 1.2, or 1.3 material; any quantity of Division 1.1, 1.2, or 1.3 material; any quantity of Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Z | Type of carriage | Commodity transported | January 1, 1985 |
|--|------------------------------|-----------------------------|-----------------|
| foreign commerce, with a gross vehicle weight rating of 10,001 or more pounds).Hazardous substances, as defined in 49 CFR 171.8 transported in cargo tanks, portable tanks, or hopper- type vehicles with capacities in excess of 3,500 water gallons; or in bulk Division 1.1, 1.2, and 1.3 materials, Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazardous substances, as defined in 49 CFR transported in cargo tanks, portable tanks, or hopper- type vehicles with capacities in excess of 3,500 water gallons; or in bulk Division 2.1, 1.2, and 1.3 materials, Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; in bulk Division 2.1 or 2.2; or highway route controlled quantities of a Class 7 material, as defined in 49 CFR \$173.403\$1,000,000(3) For-hire and Private (In interstate or foreign commerce: in any quantity; or in intrastate commerce, in bulk only; with a gross vehicle weight rating of 10,001 or more pounds).Oil listed in 49 CFR 172.101, but not mentioned in (2) above or (4) below\$1,000,000(4) For-hire and Private (In interstate or foreign commerce, with a gross vehicle weight rating of listed in 49 CFR 11, 1.2, or 1.3 material; any quantity of Division 1.1, 1.2, or 1.3 material; or hazard Zone A, or Division 1.1, 1.2, or L3 material; or hazard Zone A, or Division 1.1, 1.2, or L3 material; or hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A, or Division 6.1, Packing Group I, Haza | | | |
| gross vehicle weight rating of 10,001 or more pounds).Hazardous substances, as defined in 49 CFR 171.8 transported in cargo tanks, portable tanks, or hopper- type vehicles with capacities in excess of 3,500 water gallons; or in bulk Division 1.1, 1.2, and 1.3 materials, Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazardous substances duantities of a Class 7 material, as defined in 49 CFR 172.101; hazardous wate, hazardous wate, in threstate or foreign commerce, with a gross vehicle weight rating of 10,001 or more pounds).\$1,000,000(3) For-hire and Private (In interstate or foreign commerce, with a gross vehicle weight rating of 10,001 or more pounds).Oil listed in 49 CFR 172.101; hazardous wate, hazard Sone (4) below\$1,000,000(4) For-hire and Private (In interstate or foreign commerce, with a gross vehicle weight rating of 10,001 or more pounds).Oil listed in 49 CFR 172.101; hazardous wate, hazardous materials and hazardous materials and hazardous materials and hazardous ubstances defined in 49 CFR 172.101, but not mentioned in (2) above or (4) below\$5,000,000(4) For-hire and Private (In interstate or foreign commerce, with a gross vehicle weight rating of lo,000 pounds).Any quantity of Division 1.1, 1.2, or 1.3 material; any quantity of Division 2.3, Hazard Zone A, or Division 6.1, Packing Group 1, Hazard Zone A, or Division 6.1, Packing Group 1, Hazard Zone A material; or highway route controlled quantities of a Class 7 | | | |
| of 10,001 or more pounds).Hazardous substances, as defined in 49 CFR 171.8\$5,000,000(2) For-hire and Private (In intrastate commerce, with a gross vehicle weight rating of 10,001 or more pounds).Hazardous substances, as defined in 49 CFR 171.8 transported in cargo tanks, portable tanks, or hopper- type vehicles with capacities in excess of 3,500 water gallons; or in bulk Division 1.1, 1.2, and 1.3 materials, Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; in bulk Division 2.1 or 2.2; or highway route controlled quantities of a Class 7 material, as defined in 49 CFR §173.403\$1,000,000(3) For-hire and Private (In interstate or foreign commerce: in any quantity; or in intrastate commerce, in bulk only; with a gross vehicle weight rating of 10,001 or more pounds).Oil listed in 49 CFR 172.101, but not mentioned in (2) above or (4) below\$5,000,000(4) For-hire and Private (In interstate or foreign commerce, with a gross vehicle weight rating of line and private (In interstate or foreign commerce, with a gross vehicle weight rating of less than 10,000 pounds).Any quantity of Division line stard Zone A, or Division 6.1, Packing Group I, Hazard Zone A, or Division for Packing Group I, Hazard Zon | | | |
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| intrastate commerce, with a gross vehicle weight rating of 10,001 or more pounds).transported in cargo tanks, portable tanks, or hopper- type vehicles with capacities in excess of 3,500 water gallons; or in bulk Division 1.1, 1.2, and 1.3 materials, Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; in bulk Division 2.1 or 2.2; or highway route controlled quantities of a Class 7 material, as defined in 49 CFR §173.403\$1,000,000(3) For-hire and Private (In interstate or foreign to in intrastate commerce, in bulk only; with a gross vehicle weight rating of 10,001 or more pounds).Oil listed in 49 CFR hazardous materials and hazardous substances defined in 49 CFR\$1,000,000(4) For-hire and Private (In interstate or foreign commerce, with a gross vehicle weight rating of 10,001 or more pounds).Any quantity of Division 1.1, 1.2, or 1.3 material; any quantity of Division 2.3, Hazard Zone A, or Division f.1, Packing Group I, Hazard Zone A material; or highway route controlled quantities of a Class 7\$5,000,000 | (2) For-hire and Private (In | Hazardous substances, as | \$5,000,000 |
| gross vehicle weight rating of 10,001 or more pounds).portable tanks, or hopper- type vehicles with capacities in excess of 3,500 water gallons; or in bulk Division 1.1, 1.2, and 1.3 materials, Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; in bulk Division 2.1 or 2.2; or highway route controlled quantities of a Class 7 material, as defined in 49 CFR §173.403\$1,000,000(3) For-hire and Private (In interstate or foreign to in intrastate commerce, in bulk only; with a gross vehicle weight rating of 10,001 or more pounds).Oil listed in 49 CFR tazard 2008 vehicles and tazardous substances term 40 CFR 171.8 and listed in 49 CFR tazardous substances term 41 to the mentioned in (2) above or (4) below\$1,000,000(4) For-hire and Private (In interstate or foreign to in intrastate commerce, wehicle weight rating of and listed in 49 CFR thazardous substances to (2) above or (4) below\$5,000,000(4) For-hire and Private (In interstate or foreign to (2) above or (4) belowAny quantity of Division to 1.1, 1.2, or 1.3 material; any quantity of Division 2.3, Hazard Zone A, or Division thazard Zone A, or Division f.1, Packing Group I, Hazard Zone A, or Division f.1, Packing Group I, Hazard Zone A, material; or highway route controlled quantities of a Class 7\$5,000,000 | interstate, foreign, or | defined in 49 CFR 171.8 | |
| of 10,001 or more pounds).type vehicles with capacities in excess of 3,500 water gallons; or in bulk Division 1.1, 1.2, and 1.3 materials, Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; in bulk Division 2.1 or 2.2; or highway route controlled quantities of a Class 7 material, as defined in 49 CFR §173.403\$1,000,000(3) For-hire and Private (In interstate or foreign commerce: in any quantity; or in intrastate commerce, in bulk only; with a gross vehicle weight rating of 10,001 or more pounds).Oil listed in 49 CFR 172.101; hazardous water, hazardous substances defined in 49 CFR 171.8 and listed in 49 CFR 172.101, but not mentioned in (2) above or (4) below\$5,000,000(4) For-hire and Private (In interstate or foreign commerce, with a gross vehicle weight rating of less than 10,000 pounds).Any quantity of Division 1.1, 1.2, or 1.3 material; any quantity of Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; or highway route controlled quantities of a Class 7 | intrastate commerce, with a | transported in cargo tanks, | |
| capacities in excess of 3,500 water gallons; or in bulk Division 1.1, 1.2, and 1.3 materials, Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A, or Division 2.1 or 2.2; or highway route controlled quantities of a Class 7 material, as defined in 49 CFR §173.403(3) For-hire and Private (In interstate or foreign or in intrastate commerce, in bulk only; with a gross vehicle weight rating of 10,000 pounds).Oil listed in 49 CFR 172.101; hazardous wasten, hazardous substances defined in 49 CFR 171.8 and listed in 49 CFR 172.101, but not mentioned in (2) above or (4) below\$1,000,000(4) For-hire and Private (In interstate or foreign 0.001 or more pounds)Any quantity of Division 1.1, 1.2, or 1.3 material; any quantity of Division 2.3, Hazard Zone A, or Division 1.1, 1.2, or 1.4 material; or hazard Zone A material; or hazard Zone A material; or highway route controlled quantities of a Class 7\$5,000,000 | gross vehicle weight rating | portable tanks, or hopper- | |
| 3,500 water gallons; or in bulk Division 1.1, 1.2, and 1.3 materials, Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; in bulk Division 2.1 or 2.2; or highway route controlled quantities of a Class 7 material, as defined in 49 CFR §173.403(3) For-hire and Private (In interstate or foreign or in intrastate commerce, in bulk only; with a gross vehicle weight rating of 10,001 or more pounds)Oil listed in 49 CFR tr2.101; hazardous waste, hazardous materials and hazardous substances defined in 49 CFR 172.101, but not mentioned in (2) above or (4) below\$1,000,000(4) For-hire and Private (In interstate or foreign (4) For-hire and Private (In interstate or foreign (10,001 or more pounds)Any quantity of Division 1.1, 1.2, or 1.3 material; any quantity of Division 1.1, 1.2, or 1.3 material; any quantity of Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; or highway route controlled quantities of a Class 7 | of 10,001 or more pounds). | type vehicles with | |
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| in (2) above or (4) below(4) For-hire and Private (In interstate or foreignAny quantity of Division 1.1, 1.2, or 1.3 material; any quantity of Division 2.3,\$5,000,000vehicle weight rating of less than 10,000 pounds).Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; or highway route controlled quantities of a Class 7 | 0 0 | | |
| (4) For-hire and Private (In interstate or foreignAny quantity of Division 1.1, 1.2, or 1.3 material; any quantity of Division 2.3,\$5,000,000commerce, with a gross vehicle weight rating of less than 10,000 pounds).quantity of Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; or highway route controlled quantities of a Class 7\$5,000,000 | 10,001 of more pounds) | | |
| interstate or foreign commerce, with a gross vehicle weight rating of less than 10,000 pounds).1.1, 1.2, or 1.3 material; any quantity of Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; or highway route controlled quantities of a Class 7 | (1) For hire and Drivets (In | | \$5,000,000 |
| commerce, with a grossquantity of Division 2.3,vehicle weight rating of lessHazard Zone A, or Divisionthan 10,000 pounds).6.1, Packing Group I,Hazard Zone A material; orhighway route controlledquantities of a Class 7 | | | φ5,000,000 |
| vehicle weight rating of less than 10,000 pounds).Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; or highway route controlled quantities of a Class 7 | • | • | |
| than 10,000 pounds).6.1, Packing Group I, Hazard Zone A material; or highway route controlled quantities of a Class 7 | - | | |
| Hazard Zone A material; or highway route controlled quantities of a Class 7 | 0 0 | | |
| highway route controlled quantities of a Class 7 | | | |
| quantities of a Class 7 | | | |
| 1 | | | |
| | | material as defined in 49 | |
| CFR 173.403 | | | |

SCHEDULE OF LIMITS PUBLIC LIABILITY For-Hire Motor Carriers of Passengers Operating in Interstate or Foreign Commerce

| (1) They vehicle with a scaling capacity of 10 passengers of more. | (1) Any vehicle with a seating capacity of 16 passengers or more. | \$5,000,000 |
|--|---|-------------|
|--|---|-------------|

(2) Any vehicle with a seating capacity of 15 passengers or less. **\$1,500,000**

Forms can be downloaded from the following website:

http://www.fmcsa.dot.gov/documents/forms/r-1/MCS_90_508_final_508.pdf

Docket Number:

A Federal Agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a current valid OMB Control Number. The OMB Control Number for this information collection is 2126-0008. Public reporting for this collection of information is estimated to be approximately 2 minutes per response, including the time for reviewing instructions, gathering the data needed, and completing and reviewing the collection of information. All responses to this collection of reducing this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Motor Carrier Safety Administration, MC-RRA, Washington, D.C. 20590.

United States Department of Transportation Federal Motor Carrier Safety Administration

Endorsement for Motor Carrier Policies of Insurance for Public Liability

under Sections 29 and 30 of the Motor Carrier Act of 1980



| Issued to | of |
|---|---|
| (Motor Carrier name) | (Motor Carrier state) |
| Dated at on this day of _ | / |
| Amending Policy Number: | Effective Date: |
| Name of Insurance Company: | |
| Counters | signed by: |
| The policy to which this endorsement is attached prov | ides primary or excess insurance, as indicated for the limits shown (check only one): |
| This insurance is primary and the company shall not be lie | able for amounts in excess of \$ for each accident. |
| This insurance is excess and the company shall not be liabl underlying limit of \$ for each | le for amounts in excess of \$ for each accident in excess of the accident. |
| | |

Whenever required by the Federal Motor Carrier Safety Administration (FMCSA), the company agrees to furnish the FMCSA a duplicate of said policy and all its endorsements. The company also agrees, upon telephone request by an authorized representative of the FMCSA, to verify that the policy is in force as of a particular date. The telephone number to call is: ______.

Cancellation of this endorsement may be effected by the company of the insured by giving (1) thirty-five (35) days notice in writing to the other party (said 35 days notice to commence from the date the notice is mailed, proof of mailing shall be sufficient proof of notice), and (2) if the insured is subject to the FMCSA's registration requirements under 49 U.S.C. 13901, by providing thirty (30) days notice to the FMCSA (said 30 days notice to commence from the date the notice is received by the FMCSA at its office in Washington, DC).

DEFINITIONS AS USED IN THIS ENDORSEMENT

Accident includes continuous or repeated exposure to conditions or which results in bodily injury, property damage, or environmental damage which the insured neither expected nor intended.

Motor Vehicle means a land vehicle, machine, truck, tractor, trailer, or semitrailer propelled or drawn by mechanical power and used on a highway for transporting property, or any combination thereof.

Bodily Injury means injury to the body, sickness, or disease to any person, including death resulting from any of these.

Property Damage means damage to or loss of use of tangible property.

Environmental Restoration means restitution for the loss, damage, or destruction of natural resources arising out of the accidental discharge, dispersal, release or escape into or upon the land, atmosphere, watercourse, or body of water, of any commodity transported by a motor carrier. This shall include the cost of removal and the cost of necessary measures taken to minimize or mitigate damage to human health, the natural environment, fish, shellfish, and wildlife.

Public Liability means liability for bodily injury, property damage, and environmental restoration.

The insurance policy to which this endorsement is attached provides automobile liability insurance and is amended to assure compliance by the insured, within the limits stated herein, as a motor carrier of property, with Sections 29 and 30 of the Motor Carrier Act of 1980 and the rules and regulations of the Federal Motor Carrier Safety Administration (FMCSA).

In consideration of the premium stated in the policy to which this endorsement is attached, the insurer (the company) agrees to pay, within the limits of liability described herein, any final judgment recovered against the insured for public liability resulting from negligence in the operation, maintenance or use of motor vehicles subject to the financial responsibility requirements of Sections 29 and 30 of the Motor Carrier Act of 1980 regardless of whether or not each motor vehicle is specifically described in the policy and whether or not such negligence occurs on any route or in any territory authorized to be served by the insured or elsewhere. Such insurance as is afforded, for public liability, does not apply to injury to or death of the insured's employees while engaged in the course of their employment, or property transported by the insured, designated as cargo. It is understood and agreed that no condition, provision, stipulation, or limitation contained in the policy, this endorsement, or any other endorsement thereon,

or violation thereof, shall relieve the company from liability or from the payment of any final judgment, within the limits of liability herein described, irrespective of the financial condition, insolvency or bankruptcy of the insured. However, all terms, conditions, and limitations in the policy to which the endorsement is attached shall remain in full force and effect as binding between the insured and the company. The insured agrees to reimburse the company for any payment made by the company on account of any accident, claim, or suit involving a breach of the terms of the policy, and for any payment that the company would not have been obligated to make under the provisions of the policy except for the agreement contained in this endorsement.

It is further understood and agreed that, upon failure of the company to pay any final judgment recovered against the insured as provided herein, the judgment creditor may maintain an action in any court of competent jurisdiction against the company to compel such payment.

The limits of the company's liability for the amounts prescribed in this endorsement apply separately to each accident and any payment under the policy because of anyone accident shall not operate to reduce the liability of the company for the payment of final judgments resulting from any other accident.

SCHEDULE OF LIMITS — PUBLIC LIABILITY

| Type of carriage | Commodity transported | January 1, 1985 |
|--|--|-----------------|
| (1) For-hire (in interstate or foreign commerce, with a gross vehicle weight rating of 10,000 or more pounds). | Property (nonhazardous) | \$750,000 |
| (2) For-hire and Private (in interstate, foreign, or intrastate commerce, with a gross vehicle weight rating of 10,000 or more pounds). | Hazardous substances, as defined in 49 CFR 171.8, transported in cargo tanks, portable tanks, or hopper- type vehicles with capacities in excess of 3,500 water gallons; or in bulk Division 1.1, 1.2, and 1.3 materials, Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; in bulk Division 2.1 or 2.2; or highway route controlled quantities of a Class 7 material, as defined in 49 CFR 173.403. | \$5,000,000 |
| (3) For-hire and Private (in interstate or foreign commerce, in any quantity; or in intrastate commerce, in bulk only; with a gross vehicle weight rating of 10,000 or more pounds). | Oil listed in 49 CFR 172.101; hazardous waste, hazardous materials, and hazardous substances defined in 49 CFR 171.8 and listed in 49 CFR 172.101, but not mentioned in (2) above or (4) below. | \$1,000,000 |
| (4) For-hire and Private (In interstate or foreign commerce, with a gross vehicle weight rating of less than 10,000 pounds). | Any quantity of Division 1.1, 1.2, or 1.3 material; any quantity of a Division 2.3, Hazard Zone A, or Division 6.1, Packing Group I, Hazard Zone A material; or highway route controlled quantities of a Class 7 material as defined in 49 CFR 173.403. | \$5,000,000 |

*The schedule of limits shown does not provide coverage. The limits shown in the schedule are for information purposes only.

Docket Number:

A Federal Agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a current valid OMB Control Number. The OMB Control Number for this information collection is 2126-0008. Public reporting for this collection of information is estimated to be approximately 2 minutes per response, including the time for reviewing instructions, gathering the data needed, and completing and reviewing the collection of information. All responses to this collection of or reducing this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Motor Carrier Safety Administration, MC-RRA, Washington, D.C. 20590.

United States Department of Transportation Federal Motor Carrier Safety Administration

Motor Carrier Public Liability Surety Bond

under Sections 29 and 30 of the Motor Carrier Act of 1980

FORM MCS-82

PARTIES

SURETY COMPANY

| COMPANY NAME | | | COMPANY NAME | | |
|----------------|----------|------------------|----------------|----------|------------------|
| STREET ADDRESS | | CITY | STREET ADDRESS | | CITY |
| STATE | ZIP CODE | TELEPHONE NUMBER | STATE | ZIP CODE | TELEPHONE NUMBER |

MOTOR CARRIER

(type or print Principal Officer's name and title)

(type or print Principal Officer's name and title)

PURPOSE

This is an agreement between the Surety and the Principal under which the Surety, its successors and assignees, agree to be responsible for the payment of any final judgment or judgments against the Principal for public liability, property damage, and environmental restoration liability claims in the sums prescribed herein; subject to the governing provisions and the following conditions.

GOVERNING PROVISIONS

- 1. Sections 29 and 30 of the Motor Carrier Act of 1980 (49 U.S.C. 13906).
- 2. Rules and regulations of the Federal Motor Carrier Safety Administration (FMCSA).

CONDITIONS

The Principal is or intends to become a motor carrier of property subject to the applicable governing provisions relating to financial responsibility for the protection of the public.

This bond assures compliance by the Principal with the applicable governing provisions, and shall insure to the benefit of any person or persons who shall recover a final judgment or judgments against the Principal for public liability, property damage, or environmental restoration liability claims (excluding injury to or death of the Principal's employees while engaged in the course of their employment, and loss of or damage to property of the principal, and the cargo transported by the Principal). If every final judgment shall be paid for such claims resulting from the negligent operation, maintenance, or use of motor vehicles in transportation subject to the applicable governing provisions, then this obligation shall be void, otherwise it will remain in full effect.

Within the limits described herein, the Surety extends to such losses regardless of whether such motor vehicles are specifically described herein and whether occurring on the route or in the territory authorized to be served by the Principal or elsewhere.

The liability of the Surety on each motor vehicle subject to the financial responsibility requirements of Sections 29 and 30 of the Motor Carrier Act of 1980 for each accident shall not exceed \$______, and shall be a continuing one notwithstanding any recovery hereunder.

The surety agrees, upon telephone request by an authorized representative of the FMCSA, to verify that the surety bond is in force as of a particular date. The telephone number to call is ______.

This bond is effective from _________ (12:01 a.m., standard time, at the address of the Principal as stated herein) and shall continue in force until terminated as described herein. The principal or the Surety may at any time terminate this bond by giving (1) thirty-five (35) days notice in writing to the other party (said 35 day notice to commence from the date the notice is mailed, proof of mailing shall be sufficient proof of notice), and (2) if the Principal is subject to the FMCSA's registration requirements, by providing thirty (30) days notice to the FMCSA (said 30 days notice to commence from the date notice is received by the FMCSA at its office in Washington, D.C.). The Surety shall not be liable for the payment of any judgment or judgments against the Principal for public liability, property damage, or environmental restoration claims resulting from accidents which occur after the termination of this bond as described herein, but such termination shall not affect the liability of the Surety for the payment of any such judgment or judgments resulting from accidents which occur during the time the bond is in effect.

| (affix Mator Carrier | |
|---|--|
| (affix Motor Carrier corporate seal) | |
| | |

| SURETY | DATE |
|--------|-------|
| CITY | STATE |

(Principal Officer's signature)

ACKNOWLEDGMENT OF SURETY

| COUNTY OF | STATE OF |
|-----------|----------|

| On this | _ day of | , before me person | ally came | , who, |
|---------------|---------------|--------------------------------------|------------------|--------|
| being by me a | dulv sworn. o | did depose and say the he resides in | ; that he/she is | of the |

______, the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the board of directors of said corporation; that he/she signed his/her name thereto by like order, and he/she duly acknowledged to me that he/she executed the same for and on behalf of the said corporation.

| | (type or print Surety Officer's name and title) |
|----------------------------------|---|
| (affix Surety corporate seal) | (Surety Officer's signature) |
| | (Surety Company File Number) |

Part 390

Motor Carrier Safety Regulations



General applicability

The Federal Motor Carrier Safety Regulations (FMCSRs) apply to all employers, employees, and commercial motor vehicles transporting property or passengers in **interstate commerce**.

Interstate commerce means trade, traffic, or transportation in the United States—

- Between a place in a State and a place outside of such State (including a place outside of the United States); or
- Between two places in a State through another State or a place outside of the United States; or
- Between two places in a State as part of trade, traffic, or transportation originating or terminating outside the State or the United States.

Commercial Motor Vehicle: Any self-propelled or towed motor vehicle used on a highway in interstate commerce to transport passengers or property when the vehicle:

- Has a <u>gross vehicle weight rating or gross combination weight rating</u>, or <u>gross vehicle</u> <u>weight</u> or <u>gross combination weight</u>, of 4,536 kg <u>(10,001 pounds) or more</u>, whichever is greater; or
- Is designed or used to transport more than 8 passengers (including the driver) for compensation; or
- Is designed or used to transport more than 15 passengers, including the driver, and is not used to transport passengers for compensation; or
- Is used in transporting a quantity of hazardous material requiring placarding.

Colorado state law (C.R.S. 42-4-235) modifies, in part, the definition of a commercial vehicle which is only engaged in intrastate commerce.

Intrastate commerce (for a Colorado based company) means trade, traffic, or transportation which occurs entirely within Colorado.

While engaged in **intrastate commerce**, a commercial motor vehicle is defined as any self-propelled or towed vehicle:

- Bearing an Apportioned Plate
- Having a gross vehicle weight rating or gross combination weight rating of at least 16,001 lbs.; or
- Having a gross vehicle weight rating or gross combination weight rating of at least 16,001 lbs. and used to transport 16 or more passengers (including the driver), unless the vehicle is a school bus (operated in accordance with C.R.S. 42-4-1904); or
- Owned or Operated by a school district which does not have a gross vehicle weight rating of 26,001 lbs. or more so long as the school district does not receive remuneration other than reimbursement of the actual costs of operating the vehicle; or
- Designed or equipped to transport other motor vehicles from place to place by means of winches, cables, pulleys, or other equipment for towing, pulling, or lifting; or
- Used in transporting a quantity of hazardous material requiring placarding.

Marking Requirements (390.21)

Every self-propelled CMV must be marked with the following information:

- The legal name or a single trade name of the motor carrier operating the self-propelled CMV.
- The identification number issued by FMCSA preceded by the letters "USDOT."

Size, shape, location, and color of marking. The marking must:

- Appear on both sides of the self-propelled CMV;
- Be in letters that contrast sharply in color with the background on which the letters are placed;
- Be readily legible, during daylight hours, from a distance of 50 feet (15.24 meters) while the CMV is stationary

Additional provisions for vehicles only engaged in **intrastate** commerce:

- Intrastate only carriers must mark their vehicles with the assigned USDOT number followed by the suffix "CO"
- Motor carriers operating in intrastate commerce, not transporting 16 or more passengers including the driver or transporting placarded hazardous materials and having a GVWR or GCWR equal to or in excess of 16,001 lbs., but not in excess of 26,000 lbs, may meet the marking requirements of 49 CFR 390.21 by marking the trailer or secondary unit, if the GVWR of the self-propelled unit is 16,000 lbs. or less.

CMV Accident Recording (390.15)

For the purposes of this section, an accident is defined as an occurrence involving a commercial motor vehicle operating on a public road that results in at least one of the following:

- A fatality,
- Bodily injury to a person who, as a result of the injury, immediately receives medical treatment away from the scene of the accident,
- Disabling damage to one or more motor vehicles, requiring the vehicle(s) to be towed or otherwise transported from the scene by a tow truck or other vehicle.

For a period of three years after an accident occurs, motor carriers are required to maintain an accident register containing the following information:

- Date and place of accident,
- Driver's name,
- Number of injuries and fatalities,
- Hazardous materials (other than fuel) released, if any.

Motor carriers are also required to maintain copies of all accident reports required by State or other governmental entities or insurers for a period of one year after an accident occurs.

Biennial Update (390.19)

(a) **Applicability**. Each motor carrier must file the Form MCS–150 or Form MCS–150B with FMCSA before it begins operations; and every 24 months, according to the following schedule:

| USDOT Number ending in: | Must file by last day of: |
|-------------------------|---------------------------|
| 1 | January |
| 2 | February |
| 3 | March |
| 4 | April |
| 5 | May |
| 6 | June |
| 7 | July |
| 8 | August |
| 9 | September |
| 0 | October |

(3) If the next-to-last digit of its USDOT Number is odd, the motor carrier shall file its update in every odd-numbered calendar year. If the next-to-last digit of the USDOT Number is even, the motor carrier shall file its update in every even-numbered calendar year.

Biennial Update: FMCSA Home page

https://www.fmcsa.dot.gov/registration/updating-your-registration

Accident Register

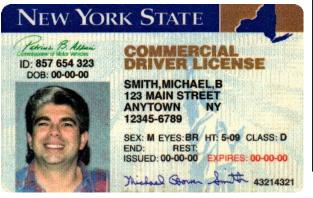
Carrier Name: _____

| Date | Time | Driver | Location | # | # 17:11-1 | Vehicle | Driver | HazMat |
|------|------|--------|------------|---------|--------------|---------|--------|--------|
| | | | City State | Injured | Killed | Towed | Cited | Spill |
| | | | | | | | | |
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Part 391

Qualification of Drivers







Motor carriers must assure that all drivers of commercial motor vehicles meet the minimum qualifications specified in Part 391.

Driver Requirements

A driver must meet the following requirements:

- Be in good health and physically able to perform all duties of a driver.
- Be at least 21 years of age.
- Speak and read English well enough to converse with the general public, understand highway traffic and signals, respond to official questions, and be able to make legible entries on reports and records.
- Be able to drive the vehicle safely.
- Know how to safely load and properly block, brace, and secure the cargo.
- Have only one valid commercial motor vehicle operator's license.
- Provide an employing motor carrier with a list of all motor vehicle violations or a signed statement that driver has not been convicted of any motor vehicle violations during the past 12 months. A disqualified driver must not be allowed to drive a commercial motor vehicle for any reason.
- Pass a driver's road test or equivalent.
- Complete an application for employment.
- Possess a valid medical certificate.

Driver File Contents Checklist

| | | DRIVER'S APPLICATION FOR EMPLOYMENT Part 391.21 |
|---------------|---|--|
| | • | A person will not be allowed to drive a commercial motor vehicle unless he/she has completed and signed an application for employment. |
| S | ٩ | INQUIRY TO PREVIOUS EMPLOYERS 3 YEARS Part 391.23(d) |
| TEN | | An investigation of the driver's employment record during the preceding three years. This investigation must be made within 30 days of the date his/her employment begins. |
| FILE CONTENTS | | INQUIRY TO STATE AGENCIES 3 YEARS - Part 391.23(a) |
| Щ | | A copy of the driver's motor vehicle record during the preceeding three years. |
| | | DRIVER'S ROAD TEST CERTIFICATE OR EQUIVALENT – Part 391.31 |
| INITIAL DO | G | A person must not be allowed to drive a commercial motor vehicle until he/she has successfully completed a road test and has been issued a certificate. Note: A copy of the person's valid Commecial Driver's License (CDL) may be used in place of this road test pusuant to FMCSR 391.22 |
| A I | | MEDICAL EXAMINATIONS – Part 391.41 |
| LINI | | For all CMV drivers – All drivers must be medically certified by a examiner who is verified on the National Registry of Certified Medical Examiners website (https://nationalregistry.fmcsa.dot.gov). A current copy of any medical waiver or SPE certificate issued to the driver. |
| | | For non-CDL vehicle drivers – A legible copy of the medical examiner's certificate and a note indicating the carrier verified the medical examiner's listing on the National Registry of Certified Medical Examiners.* |
| | | For CDL vehicle drivers – The file must contain the Commercial Driver's License Information System (CDLIS) or Motor Vehicle Record (MVR) report showing the driver's valid medical certification status information** as well as a note indicating the carrier verified the medical examiner's listing on the National Registry of Certified Medical Examiners. |
| 10 | | INQUIRY TO STATE AGENCIES – ANNUAL – Part 391.25(a) |
| PDATES | ۵ | Request driving record at least once every 12 months for each driver. |
| D | | ANNUAL REVIEW OF DRIVING RECORD – Part 391.25(b) |
| ONGOING UI | D | At least once every 12 months, the carrier must review the motor vehicle record to determine whether they still meet the minimum requirements for safe driving and is not disqualified pursuant to FMCSR 391.15. A note including the name of the person who performed this review will be retained in the file. |
| | | ANNUAL DRIVER'S CERTIFICATION OF VIOLATIONS – Part 391.27 |
| ONG | D | At least once every 12 months, a motor carrier must require each driver that it employs to prepare and furnish it with a list of all violations of motor vehicle traffic laws and ordinances during the previous 12 months. Note: Drivers who have provided information required by Section 383.31 need not repeat that information in this annual list of violations. |

*Under Colorado Adoptions (CRS 42-4-235), drivers operating non-CDL type vehicles in intrastate commerce are not required to obtain and maintain a medical examiner's certification.

**A carrier may use a copy of the driver's medical card for up to 15 days from the date it was issued in place of the CDLIS/MVR report)

DRIVER APPLICATION

1

| Company Name: Company Address: | | | | | | | |
|---|------|-------------------------------|--|-----------|---------------|---------------|----------------------------|
| | | | | | | | |
| Applicant Name | : | | | | | SSAN:: | |
| Current Address | | | | | Date of Birth | 1: | |
| | | | Residence Past 3 | Years | | <u> </u> | |
| Address <u>:</u> City: | | | | St. | Zip | How Long | g? |
| Address: City: | | | | St. | Zip | How Long | g? |
| Address: City: | | | | St. | Zip | How Long | g? |
| Experience and Qualifications as a Driver | | | | | | | |
| State | Lice | ense # | nse # Expiration D | | Type/C | Class (CDL A) | Endorsements |
| | | | | | | | |
| | | | Driving Experi | ence | | | |
| Equipment Clas | s | Type of Equi (Van, Flat, T | | Fro | DAT m | ΈS Το | Approx # of Miles Total |
| Straight Truck | | × · · · | , | | | | |
| Tractor Semi Traile Tractor with Doubl | | | | | | | |
| Tractor with Triple | | | | | | | |
| Tractor with Tank | | | | | | | |
| Other | | | | | | | |
| | [| Accidents/C | rashes for the pas | t 3 years | or more | | |
| Date (Ba | | | Nature of Accident ing, Head-on, Rollover, Turning) | | | Fatalities | Injuries |
| | | | | | | | |
| | | | | | | | |

Moving Traffic Convictions and Forfeitures for the past 3 years

| Date | Offense | Location | Type of Motor Vehicle Operated |
|------|---------|----------|-----------------------------------|
| | | | |
| | | | |
| | | | |

| A. Have you ever been denied a license, permit or privilege to operate a motor vehicle ? | []Yes []No |
|--|------------|
| B. Has any license, permit or privilege ever been revoked? | []Yes []No |
| If yes attach statement giving details. | |

This company requires all Drivers who drive Commercial Motor Vehicles (CMV) which require a Commercial Drivers License (CDL), to be controlled substances tested with a negative result prior to driving. Do you consent to such Testing? [] Yes [] No

| EMPLOYMENT RECORD | | | | | | | |
|--|----------------|--|--|--|--|--|--|
| All for past 3 years and Commercial Driving Experience for the past 10 years | | | | | | | |
| Last Employer: | Last Employer: | | | | | | |
| Position held: | [] CDL? From: | То | | | | | |
| Address: | | City:ST: | | | | | |
| Telephone #: | | FAX: | | | | | |
| Reason For Leaving: | | Was the driver subject to the FMCSRs? \Box Yes \Box No | | | | | |
| Last Employer: | | | | | | | |
| Position held: | [] CDL? From: | То | | | | | |
| Address: | | City:ST: | | | | | |
| Telephone #: | | FAX: | | | | | |
| Reason For Leaving: | | Was the driver subject to the FMCSRs? Yes No | | | | | |
| Last Employer: | | | | | | | |
| Position held: | [] CDL? From: | То | | | | | |
| Address: | | City:ST: | | | | | |
| | | | | | | | |
| Reason For Leaving: | | Was the driver subject to the FMCSRs? \Box Yes \Box No | | | | | |
| Last Employer: | | - | | | | | |
| Position held: | [] CDL? From: | То | | | | | |
| Address: | | City:ST: | | | | | |
| Telephone #: | | FAX: | | | | | |
| Reason For Leaving: | | Was the driver subject to the FMCSRs? \Box Yes \Box No | | | | | |
| | | - | | | | | |
| Position held: | [] CDL? From: | ТоТо | | | | | |
| Address: | | City:ST: | | | | | |
| Telephone #: | | FAX: | | | | | |
| Reason For Leaving: | | Was the driver subject to the FMCSRs? \Box Yes \Box No | | | | | |
| Last Employer: | | - | | | | | |
| Position held: | [] CDL? From: | ТоТо | | | | | |
| Address: | | City:ST: | | | | | |
| Telephone #: | FAX: | | | | | | |
| Reason For Leaving: | | Was the driver subject to the FMCSRs? Yes No | | | | | |

This certifies that this application was completed by me, and that all entries on it and information in it are true to the best of my knowledge.

Applicant's Signature

DRIVER APPLICATION ADDENDUM

| RESIDENCE | | | |
|-----------|-----|-----|-----------|
| Address: | | | |
| City: | St. | Zip | How Long? |
| Address: | | | |
| City: | St. | Zip | How Long? |
| Address: | | | |
| City: | St. | Zip | How Long? |

EMPLOYMENT

| Last Employer: | | |
|---------------------|-----------------|--|
| Position held: | [] CDL? From: _ | То |
| Address: | | City:ST: |
| Telephone #: | | FAX: |
| | | Was the driver subject to the FMCSRs? \Box Yes \Box No |
| Last Employer: | | · · · · · · · · · · · · · · · · · · · |
| Position held | [] CDL? From | То |
| Address: | | City: ST: |
| Telephone #: | | FAX: |
| Reason For Leaving: | | City:ST: _FAX: Was the driver subject to the FMCSRs? □ Yes □ No |
| Last Employer: | | |
| Position held: | [] CDL? From: _ | То |
| Address: | | City:ST: |
| Telephone #: | | City:ST: |
| Reason For Leaving: | | Was the driver subject to the FMCSRs? \Box Yes \Box No |
| Last Employer: | | - |
| Position held: | [] CDL? From: | To City:ST: |
| Address: | | City: ST: |
| Telephone #: | | FAX: |
| Reason For Leaving: | | Was the driver subject to the FMCSRs? \Box Yes \Box No |
| Last Employer: | | <i></i> |
| Position held | [] CDL? From: | То |
| Address: | | City:ST: |
| Telephone #: | | FAX: |
| Reason For Leaving: | | Was the driver subject to the FMCSRs? Yes No |
| Last Employer: | | |
| Position held: | [] CDL? From: | То |
| Address: | | |
| Telephone #: | | FAX: |
| Reason For Leaving: | | Was the driver subject to the FMCSRs? Yes No |
| Last Employer: | | |
| Position held: | [] CDL? From: | То |
| Address: | | City: ST: |
| Telephone #: | | FAX: |
| Dessen Fan Lessings | | \mathbf{W} d 1: 1: d d \mathbf{D} (COD a \mathbf{D} $\mathbf{V}_{}$ \mathbf{D} $\mathbf{N}_{}$ |
| Last Employer: | | - |
| Position held: | [] CDL? From: _ | То |
| Address: | | City:ST: |
| Telephone #: | | _FAX: |
| Reason For Leaving: | | Was the driver subject to the FMCSRs? \Box Yes \Box No |

Previous Employer Inquiry

| Pros | oective E | mplover: | | | | | | | |
|-----------------|---|--|-----------------------------------|-----------------------------|------------|----------|-----------------|-----------------|--|
| | Prospective Employer: | | | | | | | | |
| | Contact Representative:Title: | | | | | | | | |
| | | | | | | | | | |
| Drive | Drivers Name: | | | | | | | | |
| Prior Addre | Prior Employer Address:Fax:Email:Email: | | | | | | | | |
| Phone | 2: | Fax: | | Email: | | | | | |
| I hear CFR I | by releas Part 391. | se any and all info 23 to the above na sult from releasing | rmation pertainin med company. | ng to my em You are rele | | | | | |
| Signee | | | | | SSN: | | | | |
| Witne | ess: | | | | Date: | | | | |
| Ple: | ase con | nplete the follow | ing informatio | on as it per | tains to | the driv | er listed | above. | |
| D | uties: | l From:(1 | | | | | | iver: | |
| Date | Time | Driver | Location City State | # Injured | • - | | Driver Cited | HazMat Spill | |
| | | | | | | | | | |
| 3. | Did the | driver violate any | section of 49 CF | R Subpart I | 3? | | | | |
| | | yee violate any of t | | | | | Yes | No | |
| | | lcohol concentrati | | | | | | | |
| | | lcohol use on duty lcohol use within 4 | | ming on du | f x 7 | | | | |
| | | lcohol use until 8 l | | 0 | Ly. | | | | |
| Part 3 | 82.211 H | Refusing to submit | | | ndom, Re | asonable | | | |
| - | , | ollow Up test) Controlled substand | es use on duty | | | | | | |
| | | ested positive for o | | nces. | | | | | |
| | | | | | ove items, | did the | | | |
| em | Part 391.23(e)(2). If you answered "yes" to any of the above items, did the employee complete the return-to-duty process according to: Part 382.605/Part 40 Subpart O | | | | | | | | |

| 5. | Part 391.23(e)(3) After completing the return-to-duty process, Part 382.605/Part 40 | | | | |
|----|---|-----|----|--|--|
| | Subpart O, did the driver: | | | | |
| 1. | Test above .04 for alcohol | Yes | No | | |
| 2. | 2. Received a verified positive controlled substances result | | | | |
| 3. | Refused to be tested | | | | |

Previous employer, if you answered "yes" to any item in section 3, you must also transmit a copy/copies of the appropriate documentation (e.g., CCFs, MRO results reports, BATFs, SAP reports, follow-up testing record) to the new employer. (49 CFR Section 40.25)

As per Part 391.23(g) After October 29, 2004 previous employers must respond to the above request within 30 days after the request is received.

| Type of equipment driven []Straight truck []Tractor semi-trailer []Bus | | | | |
|---|--|--|--|--|
| Trailer used. []Van []Flatbed []Refrigerated []Cargo Tank []Triples []Doubles | | | | |
| Was the applicant safe and efficient? [] Yes [] No | | | | |
| Remarks: | | | | |
| What kind of work did applicant perform? | | | | |
| Remarks: | | | | |
| Was applicant's general conduct satisfactory? | | | | |
| Remarks: | | | | |

| Reason for leaving your employ. [|] Discharged [|] The bie I [| Resigned [| 1 Other |
|-----------------------------------|----------------|---------------|--------------|----------|
| Reason for reaving your employ. | j Dischargeu [| | j Kesigneu [| J Ouler. |

| How was the driver in: | EXCELLENT | GOOD | POOR |
|-------------------------|-----------|------|------|
| Quality of work | | | |
| Cooperation with others | | | |
| Safety Habits | | | |
| Personal Habits | | | |
| Driving Skills | | | |
| Attitude | | | |

| Mailed On: Verified by Phone On: | Faxed On: | |
|-------------------------------------|-----------|--|



DR 2559 (02/10/17) **COLORADO DEPARTMENT OF REVENUE** Division Of Motor Vehicles Driver Control Section, Room 164 PO Box 173345 Denver, CO 80217-3345 *www.colorado.gov/revenue*

Permission to Release Driver Records to Self or Another Person

Driver's License offices provide only personal driving record information. Records and/or other requests are available only at 1881 Pierce St., Lakewood, CO Pursuant to §42-1-206(1)(b)(II) (7)(a) and (7)(b)(XIII), C.R.S.

| / (Please Print Last Name) | First Name | | |
|---|-------------------------|-------|------------------|
| hereby authorize the release of personal information contained in records maintained by the Colorado Department of Revenue, Division of Motor Vehicles, to: | | | |
| Last Name | First Name | | Check if to self |
| Pursuant to the Driver's Privacy Protection Act (18 USC 2721) and Colorado law (§24-72-204, §42-1-206 (1)(b)(l)). | | | |
| Dri | ver | | |
| Driver's Date of Birth | Driver's License Number | | |
| Signature | | | Date |
| Signature of Parent or Guardian if Driver is a Minor | | | Date |
| Person Rece | iving Record | | `` |
| Release Records to: Last Name | First Name | | |
| Driver's License Number | | | State |
| Company (if applicable) | | | |
| Mailing Address | | | |
| City | | State | Zip Code |
| If your check is returned for insufficient funds or a closed account, you may not be issued or renew any type of driver's license or identification card until the original check is redeemed and an administrative and short check fee are paid. Under penalty of perjury, I attest that I shall not obtain, resell, transfer, or use the information in any manner prohibited by law. I understand that motor vehicle or driver records that are obtained, resold, or transferred for purposes prohibited by law may subject me to civil penalties under federal and state law. All of the information provided is true and accurate | | | |
| to the best of my knowledge. Signature of Requestor | | | Date |
| | | | |

ANNUAL CERTIFICATE OF VIOLATIONS

Company:_____

Driver: License : State:

ANNUAL CERTIFICATE OF VIOLATIONS

I certify that the following is a true and complete list of traffic violations (other than parking violations) for which I have been convicted or forfeited bond or collateral during the past 12 months. [] I have had no violations. [] Violations are as listed below

| Date of Conviction | Offense | Location | Type of Motor Vehicle operated |
|--------------------|---------|----------|-----------------------------------|
| | | | |
| | | | |
| | | | |
| | | | |

If no violations are listed above, I certify that I have not been convicted or forfeited bond or collateral on account of any violation required to be listed during the past 12 months.

(Date of certification)

(Driver's signature)

(Carrier Name)

(Carrier Address)

ANNUAL REVIEW OF DRIVING RECORD

In accordance with 49 Code of Federal Regulations Section 391.25, (Federal Motor Carrier Safety Regulations), all information pertinent to the above driver's safety of operation, including the list of violations furnished by him in accordance with 49 CFR Section 391.27, has been reviewed for the past 12 months.

(Reviewed By: Signature/Date)

Note: Get a new Motor Vehicle Report from the DMV.

Road Test Examination

| Company Name: | Telephone: | | |
|-----------------|------------|------|--|
| Address: | | | |
| City: | State: | Zip: | |
| Driver's Name: | | - | |
| License Number: | State: | | |

The road test shall be given by the motor carrier, or a person designated by the motor carrier. Any owner operator must have a road test given by another person. The test should be given by a person who is competent to evaluate and determine whether the driver who takes the test has demonstrated that he/she is capable of operating the vehicle and associated equipment that the motor carrier intends to assign to him/her. The carrier may use proper class license (copy placed in driver file), however this test is required for Triples, Tanks, and Doubles.

| Pass | Fail | Operations Tested (Part 391.31) |
|------|------|---|
| | | The pre-trip inspection required by Part 392.7 |
| | | Coupling and uncoupling of combination units (if applicable) |
| | | Placing the commercial motor vehicle in operation |
| | | Use of the commercial motor vehicle's controls and emergency equipment |
| | | Operating the commercial motor vehicle in traffic and while passing other motor vehicles |
| | | Turning the commercial motor vehicle |
| | | Braking, and slowing the commercial motor vehicle by means other than braking |
| | | Backing and parking the commercial motor vehicle |
| | | Other: |

Examiner: (signature/date)_____

Driver (signature/date)_____

| | Certification of Road Test |
|---|--|
| Driver Name: | |
| Social Security Nu | imber: |
| Driver License Nu | mber/State: |
| Type of Power Un | it: |
| Type of Trailer: | |
| Type of Bus/Moto | rcoach: |
| | ertify that the above named driver was given a road test under my supervision on |
| It is my considered of commercial mo | , consisting of approximatelymiles of driving. I opinion that this driver possesses sufficient driving skills to operate safely the type tor vehicle listed above. |
| It is my considered of commercial mo (Signature of Exar | , consisting of approximatelymiles of driving. d opinion that this driver possesses sufficient driving skills to operate safely the type tor vehicle listed above. |
| It is my considered of commercial mo (Signature of Exar | , consisting of approximatelymiles of driving. I opinion that this driver possesses sufficient driving skills to operate safely the type tor vehicle listed above. |
| It is my considered of commercial mo (Signature of Exar | , consisting of approximatelymiles of driving. d opinion that this driver possesses sufficient driving skills to operate safely the type tor vehicle listed above. |
| It is my considered of commercial mo (Signature of Exar | , consisting of approximatelymiles of driving. d opinion that this driver possesses sufficient driving skills to operate safely the type tor vehicle listed above. |

CDL SELF CERTIFICATION FORM & ATTACHED MEDICAL

Federal Regulation 49 CFR 383.71 requires all CDL holders to have a DOT medical and self certification of commercial driving on file with their State Driver License Administration (SDLA). Colorado statute and rule (42-2-235 and rule 8 CCR 1507-1) requires that ALL Colorado CDL holders be medically qualified to drive a CMV by the means of a valid DOT medical or medical waiver.

| Individual's Name | Date of Birth | Colorado Driver's LIcense Number | |
|--|---|----------------------------------|--|
| Signature | | Date | |
| This completed form can be faxed to 303-205-5709 Attn: CDL Unit or mailed to: Colorado Department of Revenue ATTN: CDL Unit Room 154 1881 Pierce St. Lakewood CO 80214 | | | |
| Please mark the applicable box: A. Non-excepted Interstate - A person must certify that he or she operates or expects to operate in interstate commerce, is both subject to and meets the qualification requirements under 49 CFR part 391 and is required to obtain a medical examiners certificate B.Excepted Interstate - A person must certify that he or she operates or expects to operate in interstate commerce, but engages exclusively in transportation or operations excepted under 49 CFR 390.3(f), 391.2, 391.68 or 398.3. C.Non Excepted Intrastate – A person must certify that he or she operates only in intrastate commerce and therefore is subject to State driver qualification requirements. D.Excepted Intrastate – A person must certify that he or she operates in intrastate commerce but engages exclusively | | | |
| CEF | EASE ATTACH A COP THE DOT MEDICAL RTIFICATE HERE BEF ING TO THE CDL UN | ORE | |

Alcohol and Drug Testing Requirements



Applicability

Drivers required to have a commercial driver's license (CDL) are subject to the controlled substance and alcohol testing rules. This requirement extends to those drivers currently covered by the rule, including interstate and intrastate truck and motor coach operations.

This includes commercial motor vehicles operated by:

For-hire and private companies

- Federal, State, local, and tribal governments
- Church and civic organizations
- Apiarian industries

Types of alcohol and controlled substance tests

Pre-employment: Part 382.301

Post-Accident: Part 382.303

Random: Part 382.305

Reasonable Suspicion: Part 382.307

Return-to-Duty: Part 382.309

Follow-up: Part 382.311

Location of Records

All required records shall be maintained in a secure location with limited access, and shall be made available for inspection by an authorized representative of the Federal Motor Carrier Safety Administration.

Supervisor Training/Driver Awareness

Each employer shall ensure that all persons designated to supervise drivers receive at least 60 minutes of training on alcohol misuse and receive at least an additional 60 minutes of training on controlled substances use. The training will be used by the supervisors to determine whether reasonable suspicion exists to require a driver to undergo testing under **Part 382.307**. The training shall include the physical, behavioral, speech, and performance indicators of probable alcohol misuse and use of controlled substances. Recurrent training for supervisory personnel is not required.

Post-Accident Drug and Alcohol Testing Requirements Part 382.303

| Type of Accident | Was a citation issued to the CMV Driver? | Must a test be performed on the CMV driver? |
|--|--|---|
| Human Fatality | Yes No | Yes Yes |
| Bodily injury with immediate medical treatment away from the scene of the accident | <mark>Yes</mark> ───> No | <mark>Yes</mark> No |
| Disabling damage to <u>any</u> motor vehicle requiring a tow away | <mark>Yes</mark> ───> No | <mark>Yes</mark> No |

<u>Controlled Substances Test</u> – Controlled substance tests must be administered within 32 hours following an accident. If a test required by this section is not administered within 32 hours following the accident, the employer shall cease attempts to administer a controlled substances test, and prepare and maintain on file a record stating the reasons the test was not promptly administered. Records shall be submitted to the FMCSA upon request.

<u>Alcohol Tests</u> – Alcohol tests must be administered within 2 hours following an accident. If a required alcohol test is not administered within 2 hours following the accident, the employer shall prepare and maintain on file a record stating the reasons the test was not promptly administered. If a required alcohol test is not administered within 8 hours following the accident, the employer shall cease attempts to administer an alcohol test and shall prepare and maintain the same record. Records shall be submitted to the FMCSA upon request.

Controlled Substances and Alcohol Testing Policy

| Company: | | |
|----------|------|------|
| Address: | | |
| City: | ST: | Zip: |
| Phone: | Fax: | |

This policy follows Department of Transportation and Federal Motor Carrier Safety Administration regulations found in 49 CFR Parts 40 and 382.

If you have questions about this controlled substances and alcohol testing contact

_____, the designated company official to answer questions.

<u>All drivers who drive commercial motor vehicles which require a CDL are subject to controlled</u> <u>substances and alcohol testing</u>.

The definition of a driver Safety Sensitive Function is found in 49 CFR Section 382.107 (attached). Safety sensitive function means all time from the time a driver begins to work or is required to be in readiness to work until the time he/she is relieved from work and all responsibility for performing work.

Safety sensitive function shall include:

- (1) All time at an employer or shipper plant, terminal, facility, or other property, or on any public property, waiting to be dispatched, unless the driver has been relieved from duty by the employer;
- (2) All time inspecting equipment as required by Part 392.7 and Part 392.8 of this subchapter or otherwise inspecting, servicing, or conditioning any commercial motor vehicle at any time;
- (3) All time spent at the driving controls of a commercial motor vehicle in operation;
- (4) All time, other than driving time, in or upon any commercial motor vehicle except time spent resting in a sleeper berth (a berth conforming to the requirements of 393.76 of this subchapter);
- (5) All time repairing, obtaining assistance, or remaining in attendance upon a disabled vehicle.

Driver conduct that is prohibited is found in 49 CFR Part 382 Subpart B.

- (1) 382.201: No driver shall report for duty requiring the performance of a safety sensitive function with an alcohol concentration of 0.04 or greater.
- (2) 382.205: No driver shall use alcohol while performing a safety sensitive function.
- (3) 382.207: No driver shall perform a safety sensitive function within 4 hours after using alcohol.
- (4) 382.207: No driver required to take a post accident alcohol test under 49 CFR 0382.209 shall use alcohol for 8 hours following the accident.
- (5) 382.21: No driver shall refuse to submit to any required alcohol or controlled substances test.
- (6) 382.213: No driver shall report for duty requiring the performance of a safety sensitive function when the driver uses controlled substances, except when the use is pursuant to the instructions of a licensed medical practitioner, as defined in 49 CFR 0382.107. This must not interfere with the driver's ability to perform a safety sensitive function,
- (7) 382.215: No driver shall report for duty or remain on duty requiring the performance of a safety sensitive function, if the driver tests positive for controlled substances.

<u>The circumstances in which the driver will be tested are incorporated and found in 49 CFR Part</u> <u>382 Subpart C:</u>

| 382.301: Pre-Employment Testing | 382.307: Reasonable Suspicion Testing |
|--|---------------------------------------|
| 382.303: Post Accident Testing | 382.309: Return to Duty Testing |
| 382.305: Random Testing per the prevailing rate required by U.S. DOT | 382.311: Follow-Up Testing. |

All definitions, regulations, and procedures used to test for controlled substances and alcohol in order to protect the integrity of the testing process, safeguard test validity, and insure results are attributed to correct driver are found in 49 CFR Parts 40 and 382. They are incorporated into this policy and are attached.

Refusal to submit to an alcohol or controlled substances test is defined in 49 CFR 382.107.

Refuse to submit (to an alcohol or controlled substances test) means that a driver:

1) Fails to appear for any test (except a Pre-employment test) within a reasonable time, as determined by the employer, consistent with applicable DOT agency regulations, after being directed to do so by the employer. This includes the failure of an employee (including an owner operator) to appear for a test when called by a C/TPA,

2) Fails to remain at the testing site until the testing proceeds is complete. Provided, that an employee who leaves the testing site before the testing process commences on a pre-employment test is not deemed to have refused to test,

3) Fails to provide a urine specimen for any drug test required by this part or DOT agency regulations. Provided, that an employee who does not provide a urine specimen, because he or she has left the testing site before the testing process commences on a pre-employment test is not deemed to have refused to test,

4) In the case of a directly observed or monitored collection in a drug test, fails to permit the observation or monitoring of the drivers provision of a specimen,

5) Fails to provide a sufficient amount of urine specimen when directed, and it has been determined that there was no adequate medical explanation for the failure,

6) Fails or declines to take a second test the employer or the collector has directed the driver to take,

7) Fails to undergo a medical examination or evaluation, as directed by the MRO as part of the verification process, or as directed by the DER under 49 CFR 40.193(d). In the case of a pre-employment drug test, the employee is deemed to have refused to test on this basis only if the pre-employment test is conducted following a contingent offer of employment,

8) Fails to cooperate with any part of the testing process (e.g., refuse to empty pockets when so directed by the collector, behave in a confrontational way that disrupts the collection process),

9) Is reported by the MRO as having a verified adulterated or substituted test result.

Note: In reference to item 1 for the FMCSA immediate means that the employer shall ensure that the driver ceases to perform the safety sensitive function and proceeds to the testing site as soon as possible.

<u>The consequences for violators of Subpart B are incorporated and found in 49 CFR Part 382</u> <u>Subpart F</u>.

- (1) All CDL drivers will be removed from any safety sensitive position.
- (2) The driver must see a Substance Abuse Professional before driving again, anywhere.
- (3) The driver must take a Return To Duty test with a Negative result and/or an Alcohol test with results below .02.

The consequences for CDL drivers tested for Alcohol with results at .02 but below .04 are that the driver will be removed from any safety sensitive position for a period of 24 hours per 49 CER Section 3 82.505(a).

Information concerning the effects of drug use and alcohol abuse has been provided to the driver.

<u>COMPANY POLICY</u> Any driver that violates 49 CFR Part 382 Subpart B shall be terminated for cause.

LIST OF SUBSTANCES ABUSE PROFESSIONALS

| 1. | |
|----|--|
| 2. | |
| 3 | |

| , | This is to certify that I have received a copy of the company Alcohol and Controlled Substances Policy: |
|---|---|
| | Driver Printed Name : |
| 1 | Signature |
| | Date: |
| | |
| | |

<u>Safety-sensitive function</u> means all time from the time a driver begins to work or is required to be in readiness to work until the time lie/she is relieved from work and all responsibility for performing work. Safety-sensitive functions shall include:

(1) All time at an employer or shipper plant, terminal, facility, or other property, or on any public property, waiting to be dispatched, unless the driver has been relieved from duty by the employer;

(2) All time inspecting equipment as required by §~392.7 and 392.8 of this subchapter or otherwise inspecting, servicing, or conditioning any commercial motor vehicle at any time;

(3) All time spent at the driving controls of a commercial motor vehicle in operation;

(4) All time, other than driving time, in or upon any commercial motor vehicle except time spent resting in a sleeper berth (a berth conforming to the requirements of~393.76 of this subchapter);

(5) All time loading or unloading a vehicle, supervising, or assisting in the loading or unloading, attending a vehicle being loaded or unloaded, remaining in readiness to operate the vehicle, or in giving or receiving receipts for shipments loaded or unloaded; and

(6) All time repairing, obtaining assistance, or remaining in attendance upon a disabled vehicle.

Commercial Driver's License Standards



Vehicles requiring commercial driver's licenses

Drivers must hold CDLs if they operate in interstate, intrastate, or foreign commerce and drive a vehicle:

- With a Gross Vehicle Weight Rating (GVWR) or Gross Vehicle Weight (GVW) of at least 26,001 pounds, whichever is greater, or a lesser GVWR or GVW the Secretary of Transportation prescribes by regulation, but not less than a GVWR of 10,001 pounds; or
- Designed to transport at least 16 passengers including the driver; or
- Transporting a quantity of hazardous materials requiring placarding.
- Because the CDL is a State-issued license, you should check with appropriate State officials regarding particular license classes and specific exemptions.

Disqualifying offenses- Part 383.51

No employer shall knowingly allow, require, permit, or authorize a disqualified driver to drive a CMV. Disqualifying offenses include:

- Driving a CMV while under the influence of alcohol.
- Driving a CMV while under the influence of a disqualifying drug or other controlled substance.
- Leaving the scene of an accident that involves a CMV.
- Using a CMV to commit a felony.
- Using a CMV to commit serious traffic violations.
- Using a CMV to violate an Out-of-Service Order.
- Using a CMV to violate the Railroad-Highway Grade Crossing rule.

Endorsements – Part 383.93

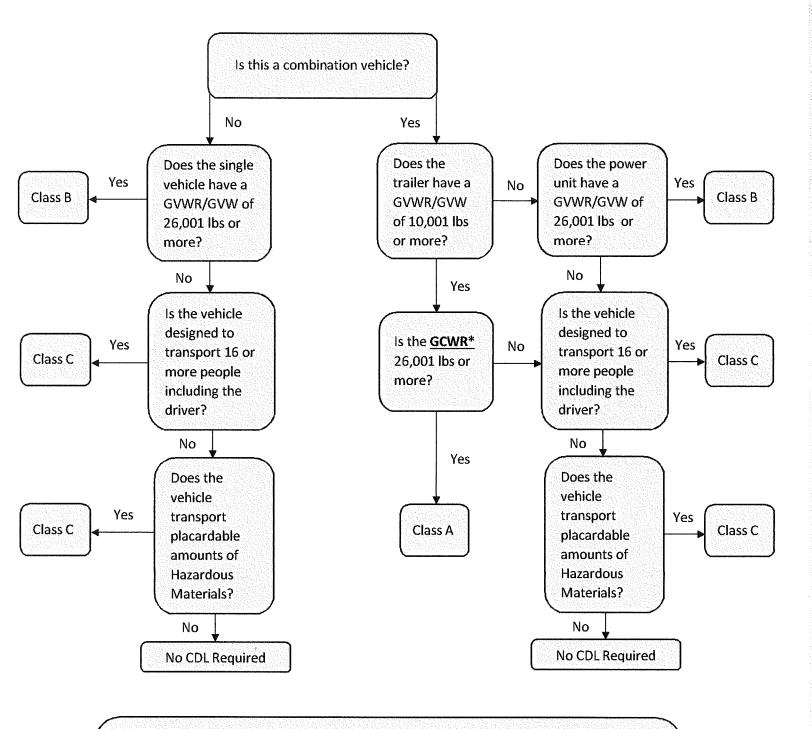
In addition to general knowledge and skills tests, drivers who operate specialized commercial motor vehicles must pass additional tests and obtain endorsements on their CDLs, as follows:

- T -- Double/triple trailers (knowledge test only)
- P -- Passenger (knowledge and skills tests)
- N -- Tank vehicle (knowledge test only)
- H -- Hazardous materials (knowledge test only)
- X -- Combination of tank vehicle and hazardous materials (knowledge tests)

Restrictions - Part 383.95

- Air Brake Restrictions
- Medical Variance Restrictions

CDL FLOWCHART



*Gross combination weight rating(GCWR) is the greater of:

(1) A value specified by the manufacturer of the power unit, if such value is displayed on the Federal Motor Vehicle Safety Standard (FMVSS) certification label required by the National Highway Traffic Safety Administration, or

(2) The sum of the gross vehicle weight ratings (GVWRs) or the gross vehicle weights (GVWs) of the power unit and the towed unit(s), or any combination thereof, that produces the highest value.

Exception: The GCWR of the power unit will not be used to define a commercial motor vehicle when the power unit is not towing another vehicle.

COLORADO CLASSIFICATION SYSTEM

CLASS *DESCRIPTION

B

A Any combination of vehicles with a Gross Combination Weight Rating (GCWR) of 26,001 or more pounds provided the Gross Vehicle Weight Rating (GVWR) of the vehicle(s) being towed is in excess of 10,000 pounds. (Holders of a Class A license may also, with any appropriate endorsements, operate all vehicles within Class B and C).

Examples include but are not limited to:



Any single vehicle with a GVWR of 26,001 or more pounds or any such vehicle towing a vehicle not in excess of 10,000 pounds GVWR. (Holders of a Class B license may also, with any appropriate endorsements, operate all vehicles within Class C).

Examples include but are not limited to:



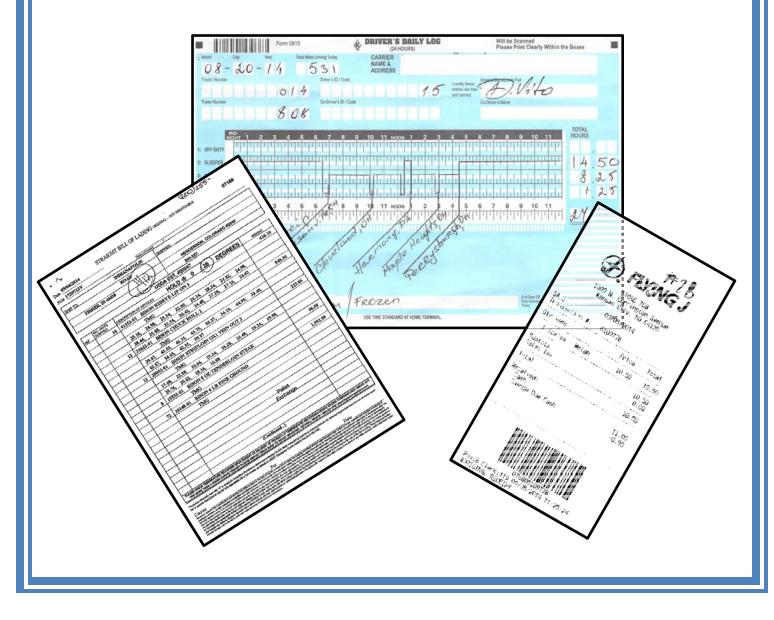
C Any single vehicle less than 26,001 pounds GVWR or any such vehicle towing a vehicle not in excess of 10,000 pounds GVWR. This group applies only to vehicles, which are required to be placarded for hazardous materials or are designed to transport 16 or more persons, including the operator. A holder of a Class A, B, or C license may drive all vehicles which may be driven by a holder of a Class E or Class F license.

Examples include but are not limited to:



*The representative vehicle for the skills test must meet the written description for that group. The examples represent, but do not fully cover, the types of vehicles falling within each group.

Hours of Service



PROPERTY-CARRYING DRIVERS

11-Hour Driving Limit: May drive a maximum of 11 hours after 10 consecutive hours off duty.

14-Hour Limit: May not drive beyond the 14th consecutive hour after coming on duty, following 10 consecutive hours off duty. Off-duty time does not extend the 14-hour period.

Rest Breaks: May drive only if 8 hours or less have passed since end of driver's last off-duty or sleeper berth period of at least 30 minutes. Does not apply to drivers using either of the short-haul exceptions in 395.1(e).

60/70-Hour Limit: May not drive after 60/70 hours on duty in 7/8 consecutive days. A driver may restart a 7/8 consecutive day period after taking 34 or more consecutive hours off duty

Sleeper Berth Provision: Drivers using the sleeper berth provision must take at least 8 consecutive hours in the sleeper berth, plus a separate 2 consecutive hours either in the sleeper berth, off duty, or any combination of the two.

Simply stated:

- Drivers may drive up to 11 hours in the 14-hour on-duty window after they come on duty following 10 or more consecutive hours off duty.
- The 14-hour on-duty window may not be extended with off-duty time for meal and fuel stops, etc.
- The prohibition on driving after being on duty 60 hours in 7 consecutive days, or 70 hours in 8 consecutive days, remains the same
- CMV drivers using the sleeper berth provision must take at least 8 consecutive hours in the sleeper berth, plus 2 consecutive hours either in the sleeper berth, off duty, or any combination of the two.

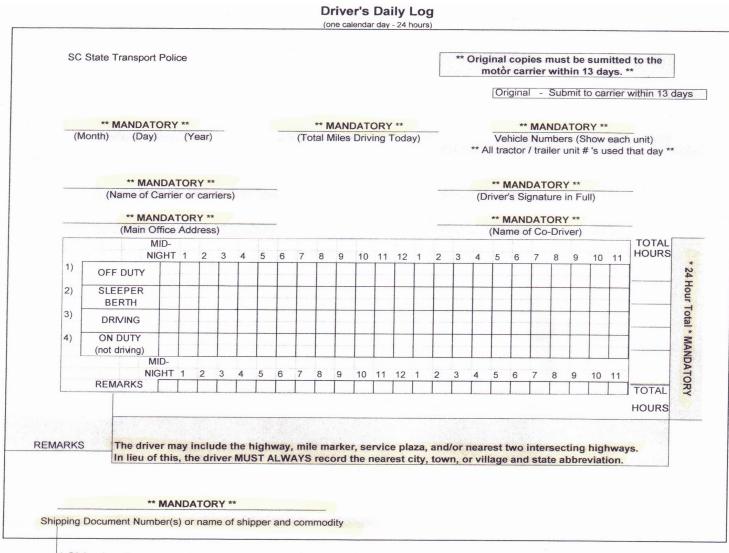
PASSENGER-CARRYING DRIVERS

10-Hour Driving Limit: May drive a maximum of 10 hours after 8 consecutive hours off duty

15-Hour Limit: May not drive after having been on duty for 15 hours, following 8 consecutive hours off duty. Off-duty time is not included in the 15-hour period.

60/70-Hour Limit: May not drive after 60/70 hours on duty in 7/8 consecutive days.

Sleeper Berth Provision: Drivers using a sleeper berth must take at least 8 hours in the sleeper berth, and may split the sleeper berth time into two periods provided neither is less than 2 hours.



* Shipping Document Numbers: If a driver is dispatched on a trip, which is subsequently completed, and then is dispatched on another trip on that calendar day, two shipping document numbers or two shippers and commodities should be shown.

Short Haul Provisions

395.1 (e)

CDL Vehicle Short Haul Exemption

(e) Short-haul operations-(1) 100 air-mile radius driver. A driver is exempt from the requirements of § 395.8 if:

(i) The driver operates within a 100 air-mile radius of the normal work reporting location;

(ii) The driver, except a driver-salesperson, returns to the work reporting location and is released from work within 12 consecutive hours;

(iii)(A) A property-carrying commercial motor vehicle driver has at least 10 consecutive hours off duty separating each 12 hours on duty;

(B) A passenger-carrying commercial motor vehicle driver has at least 8 consecutive hours off duty separating each 12 hours on duty;

(iv)(A) A property-carrying commercial motor vehicle driver does not exceed the maximum driving time specified in \$395.3(a)(3) following 10 consecutive hours off duty; or

(B) A passenger-carrying commercial motor vehicle driver does not exceed 10 hours maximum driving time following 8 consecutive hours off duty; and

(v) The motor carrier that employs the driver maintains and retains for a period of 6 months accurate and true time records showing.

(A) The time the driver reports for duty each day;

(B) The total number of hours the driver is on duty each day;

(C) The time the driver is released from duty each day; and

(D) The total time for the preceding 7 days in accordance with §395.8(j)(2) for drivers used for the first time or intermittently.

Non-CDL Vehicle Short Haul Exemption

(2) Operators of property-carrying commercial motor vehicles not requiring a commercial driver's license. Except as provided in this paragraph, a driver is exempt from the requirements of \$395.3(a)(2) and \$395.8 and ineligible to use the provisions of \$395.1(e)(1), (g), and (o) if:

(i) The driver operates a property-carrying commercial motor vehicle for which a commercial driver's license is not required under part 383 of this subchapter;

(ii) The driver operates within a 150 air-mile radius of the location where the driver reports to and is released from work, *i.e.*, the normal work reporting location;

(iii) The driver returns to the normal work reporting location at the end of each duty tour;

(iv) The driver does not drive:

(A) After the 14th hour after coming on duty on 5 days of any period of 7 consecutive days; and

(B) After the 16th hour after coming on duty on 2 days of any period of 7 consecutive days;

(v) The motor carrier that employs the driver maintains and retains for a period of 6 months accurate and true time records showing.

(A) The time the driver reports for duty each day;

(B) The total number of hours the driver is on duty each day;

(C) The time the driver is released from duty each day;

(D) The total time for the preceding 7 days in accordance with § 395.8(j)(2) for drivers used for the first time or intermittently.

Free website for determining air mile/nautical mile radius from a principle place of business:

http://www.mapdevelopers.com/draw-circle-tool.php

| Driver | Name:_ |
|--------|--------|
|--------|--------|

| Date | Start Time | End Time | Total Hours | Notes |
|------|------------|----------|-------------|-------|
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Driving of Motor Vehicles



Illness or fatigue - Part 392.3

No driver is permitted to operate a motor vehicle when his/her ability and/or alertness is impaired by fatigue, illness, or any other cause that makes it unsafe to begin (or continue) to drive the vehicle.

Drugs - Part 392.4

No driver may be on duty and possess, be under the influence of, or use:

- Any Schedule I drug
- Any amphetamine or formulation of an amphetamine (including pep pills and bennies)
- Narcotics or derivatives
- Any other substance that makes driving unsafe.

Alcohol - Part 392.5

A driver is forbidden to consume or be under the influence of alcohol within four hours of going on duty, while on duty, or while driving. A driver is forbidden to possess an alcoholic beverage while on duty, unless it is a manifested part of the shipment.

Safe loading - Part 392.9

No one may drive or require anyone to drive a commercial motor vehicle unless the cargo is properly loaded and secured.

Railroad crossing/stopping - Part 392.11

Motor vehicles transporting hazardous materials, and most buses transporting passengers, are forbidden to cross railroad tracks without first stopping and looking both ways. Additionally, the driver must not shift gears while crossing the track.

Seat belts - Part 392.16

A driver must not drive before correctly restraining him/herself, if the vehicle is equipped with seat belt assemblies.

Emergency signals for stopped vehicles - Part 392.22

A vehicle stopped upon a highway or shoulder must activate the vehicle's hazard warning flashers at once. The driver must leave the flashers on until warning devices are activated. The flashers must again be used while the warning devices are being picked up before the vehicle moves on.

Placement of warning devices - Part 392.22

The warning devices must be placed as follows (except where special rules apply):

- 1. One warning device must be placed on the traffic side of the vehicle, within **10 feet**, in the direction of approaching traffic.
- 2. A second device must be placed facing approaching traffic approximately **100 feet** away in the center of the lane or shoulder where the vehicle is stopped.
- 3. The third device must be placed about **100 feet** away from the stopped vehicle, in the direction away from approaching traffic.

Radar detectors - Part 392.71

Use of radar detectors is prohibited, including the following:

- Radar detectors shall not be used by a driver in a commercial vehicle.
- A driver shall not operate any commercial motor vehicle that is equipped with a radar detector.
- Motor carriers shall not require or permit a driver to violate the radar detector provisions.

<u>Texting</u> - 392.80

No driver shall engage in texting while driving. No motor carrier shall allow or require its drivers to engage in texting while driving.

Definition: For the purpose of this section only, driving means operating a commercial motor vehicle, with the motor running, including while temporarily stationary because of traffic, a traffic control device, or other momentary delays. Driving does not include operating a commercial motor vehicle with or without the motor running when the driver moved the vehicle to the side of, or off, a highway and halted in a location where the vehicle can safely remain stationary.

Using a hand-held mobile telephone - 392.82

No driver shall use a hand-held mobile telephone while driving a CMV. No motor carrier shall allow or require its drivers to use a hand-held mobile telephone while driving a CMV.

Definition: For the purpose of this section only, driving means operating a commercial motor vehicle on a highway, including while temporarily stationary because of traffic, a traffic control device, or other momentary delays. Driving does not include operating a commercial motor vehicle when the driver has moved the vehicle to the side of, or off, a highway and has halted in a location where the vehicle can safely remain stationary.

ire Chain Requirements

Under the Colorado Chain Law, a commercial vehicle is defined as a vehicle being used in commerce to transport passengers or property and fitting into one of the following categories:

- Has a gross combination weight rating of 26,001 or more pounds, inclusive of a towed unit which has a . gross vehicle weight rating of 10,001 or more pounds.
- Has a gross vehicle weight rating of 26,001 or more pounds. ٠
- Is designed to transport 16 or more passengers, including the driver. •

CCR 42-4-235 Requires All Commercial Vehicles, as described above, to have Tire Chains OR approved traction devices(ATDs) in the vehicle or installed (if permanently mounted) and ready for use (ie full of sand etc..) from Sept. 1 to May 31 while on the I70 Corridor, from Dotsero (MM 133) to Golden (MM 259).

Colorado's CHAIN LAW REQUIRES. Operators of commercial motor vehicles with four or more drive wheels must have tire chains on least 4 of the drive tires when the vehicle is required to be equipped with tire chains (Except Busses). Operators of vehicles with only two drive wheels must have both drive tires chained when the vehicle is required to be equipped with tire chains.

Straight Trucks

Are required to chain 4 drive tires.

Vehicles in this group may use 4 tire chains; 4 AutoSocks; 4 tire cables 0.415" diameter or greater; auto chains or sanders covering 4 drive tires.

Single Drive Axle Combinations Are required to chain 4 drive tires.

Vehicles in this group may use 4 tire chains; 4 AutoSocks; auto chains or sanders covering 4 drive tires.

NO CABLES ALLOWED

Tandem Drive Axle Combinations Are required to chain 4 drive tires. Vehicles in this group may use 4 tire chains; 4 AutoSocks; 4 tire cables 0.415" diameter or greater; auto chains or sanders covering 4 drive tires. IF there are chains on the 2 outside tires of one of the drive axles, *any* type of tire cable may be used to cover 2 tires on the other drive axle; AutoSocks may also be used to cover 2 tires on the other drive axle.

AutoSocks and tire cables CAN'T be used together.





Are only required to cover 2 drive tires. Busses Busses may use 2 tire chains, 2 tire cables 0.415" diameter or greater; 2 AutoSocks; auto chains or sanders.

Are required to chain 4 drive tires, Auto Transporters However, chains should not be used if their use would place hydraulic lines at risk of damage (ATDs may be used to mitigate the risk). Auto transporters may use 4 tire chains; 4 AutoSocks; 4 tire cables 0.415 or greater; auto chains or sanders covering 4 drive tires. Auto Transporters may be restricted from travel if they are unable to chain due to risk of damage to hydraulic lines.





Part 393/396

Parts and Accessories Necessary for Safe Operation



Every commercial motor vehicle must be equipped with certain standard equipment. Other (optional) equipment or accessories are permitted only if these items do not decrease the operational safety of the vehicle.

<u>Lights</u> – Parts 393.9 to 393.30

Brakes – Parts 393.40 to 393.55

Windshield/Windows condition – Parts 393.60 to 393.63

Fuel Systems – Parts 393.65 to 393.69

Coupling Devices – Parts 393.70 to 393.71

Cargo Securement - Parts 393.100 to 393.136

<u>Tires</u> – Part 393.75

Sleeper berths – Part 393.76

Exhaust systems – Part 393.83

<u>Rear end protection</u> – Part 393.86

Seat belts – Part 393.93

Emergency Equipment – Part 393.95

Frames, Cab and Body Components, Wheels, Steering, and Suspension Systems Suspension systems – Parts 393.201 to 393.209

Steering system – Part 393.209

Every motor carrier, its officers, drivers, agents, representatives, and employees directly concerned with inspection or maintenance of commercial motor vehicles must comply and be conversant with these rules.

Every carrier shall systematically inspect, repair, and maintain all commercial motor vehicles under its control.

Recordkeeping requirements

Motor carriers must maintain the following information for every vehicle they have controlled for 30 days or more:

- Identifying information, including company number, make, serial number, year, and tire size
- A schedule of inspections to be performed, including type and due date
- Inspection, repair, and maintenance records
- Records of tests conducted on buses with pushout windows, emergency doors, and marking lights.

Roadside inspection reports – Part 396.23

Pre-trip inspection and Post-trip inspection report – Parts 396.11 and 396.13

Periodic inspection – Part 396.17

Inspector qualification – Part 396.19

Brake inspector qualification – Part 396.25

Annual Vehicle Inspection Report

| Vehic | le Hi | istory | Rec | ord |
|-------|-------|--------|-----|-----|
| | | | | |

Report FLEET UNIT NUMBER Number

| | | | | DATE | | | | |
|--|------|-----------------|---|---|----|-----------------|------------------|--|
| MOTOR CARRIER OPERATOR | | | INSPECTOR'S NAME (PRINT OR TYPE) | | | | | |
| ADDRESS | | | THIS INSPECTOR MEETS THE QUALIFICATION REQUIREMENTS IN SECTION 396.19. | | | | | |
| CITY, STATE, ZIP CODE | | | | VEHICLE IDENTIFICATION (1) AND COMPLETE ILIC. PLATE NO. | | | | |
| VEHICLE TYPE TRACTOR TRAILER TRUC | CK . | | | INSPECTION AGENCY/LOCATION (OPTIONAL) | | | | |
| VEF | IICL | e co | MPO | NENTS INSPECTED | | | | |
| ITEM | OK | NEEDS REPAIR | REPAIRED DATE | ITEM | OK | NEEDS REPAIR | REPAIRED DATE | |
| 1. BRAKE SYSTEM | | | | 7. STEERING MECHANISM | | | | |
| a. Service Brakes | | | | a. Steering Wheel Free Play | | | | |
| b. Parking Brake System | | | | b. Steering Column | | | | |
| c. Brake Drums or Rotors | | | | c. Front axle beam and ALL steering components other | | | | |
| d. Brake Hose | | | | than steering column | | | | |
| e. Brake Tubing | | | | d. Steering Gear Box | | | | |
| f. Low Pressure Warning Device | | | | e. Pitman Arm | | | | |
| g. Tractor Protection Valve | | | | f. Power Steering | | | | |
| h. Air Compressor | | | | g. Ball and Socket Joints | | | | |
| i. Electric Brakes | | | | h. Tie Rods and Drag Links | | | | |
| j. Hydraulic Brakes | | | | i. Nuts | | | | |
| k. Vacuum Systems | | | | j. Steering System 8. SUSPENSION | | | | |
| 2. COUPLING DEVICES | | | | a. Any U-bolt(s), spring hanger(s), or other axle | | | | |
| a. Fifth Wheels | | | | positioning part(s) cracked, broken, loose or missing resulting in shifting of an axle from its normal position. | | | | |
| b. Pintle Hooks | | | | b. Spring Assembly | | | | |
| c. Drawbar/Towbar Eye | | | | c. Torque, Radius, or Tracking Components | | | | |
| d. Drawbar/Towbar Tongue | | | | 9. FRAME | | | | |
| e. Safety Devices | | | | a. Frame Members | | | | |
| f. Saddle-Mounts | | | | b. Tire and Wheel Clearance | | | | |
| 3. EXHAUST SYSTEM | | | | c. Adjustable Axle Assemblies (Sliding Subframes) | | | | |
| a. Any exhaust system determined to be leaking ot a point forward of or directly below the driver/sleeper compartment. | | | | 10. TIRES | | | | |
| b. A bus exhaust system leaking or discharging to the | | | | a. Tires on any steering axle of a power unit. | | | | |
| atmosphere in violation of standards (1), (2), or (3). | | | | b. All other tires. | | | | |
| c. No part of the exhaust system of any motor vehicle shall be so located as would be likely to result in burning, | | | | 11. WHEELS AND RIMS | | | | |
| charring, or damaging the electrical wiring, the fuel supply, or any combustible part of the motor vehicle. | | | | a. Lock or Side Ring | | | | |
| 4. FUEL SYSTEM | | | | b. Wheels and Rims | | | | |
| a. Visible leak | | | | c. Easteners | | | | |
| b. Fuel tank filler cap missing | | | | d. Welds | | | | |
| c. Fuel tank securely attached | | | | 12. WINDSHIELD GLAZING | | | | |
| 5. LIGHTING DEVICES | | | | Requirements and exceptions as stated pertaining to any | | | | |
| All lighting devices and reflectors required by Section 393 shall be operable. | | | | crack, discoloration or vision reducing matter (reference 393.60 for exceptions). | | | | |
| 6. SAFE LOADING | | | | 13. WINDSHIELD WIPERS | | | | |
| a. Part(s) of vehicle or condition of loading such that the spare tire or any part of the load or dunnage can fall onto the roadway. | | | | Any power unit that has an inoperative wiper, or missing or damaged parts that render it ineffective. | | | | |
| b. Protection against shifting cargo | | | | List any other condition which may prevent safe operation of this vehicle. | | | | |
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Instructions: Mark column entries to verify inspection: X_OK, X_NEEDS REPAIR, <u>NA</u> IF ITEMS DO NOT APPLY, _____REPAIRED DATE. CERTIFICATION: THIS VEHICLE HAS PASSED ALL THE INPECTION ITEMS FOR THE ANNUAL VEHICLE INSPECTION REPORT IN ACCORDANCE WITH 49 CFR 396.

Inspection, Repair and Maintenance Record

| Unit Number | Year | Make | VIN | Tire Size |
|-------------|--------------------------|------|--|-----------|
| Ve | hicle Owner (if leased): | | | |
| | | | | |
| Date | Mileage | | Description of Inspection, Repair or Maintenance | |
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Vehicle Service Due Status Report

| VEHICLE IDENTIFICATION | | | | |
|---------------------------|------------------|--|--|--|
| МАКЕ | SERIAL NUMBER | | | |
| YEAR | TIRE SIZE | | | |
| COMPANY NUMBER/OTHER I.D. | OWNER, IF LEASED | | | |

| DATE OF INSPECTION | TYPE OF INSPECTION | MILEAGE AT TIME OF INSPECTION | DATE NEXT INSPECTION DUE | MILEAGE TYPE OF INSPECTION DUE | INSPECTION DUE |
|-----------------------|-----------------------|-------------------------------------|--------------------------------|---|-------------------|
| | | | | | |
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Inspection, Repair & Maintenance Record

| VEHICLE IDENTIFICATION | | |
|---------------------------|------------------|--|
| МАКЕ | SERIAL NUMBER | |
| YEAR | TIRE SIZE | |
| COMPANY NUMBER/OTHER I.D. | OWNER, IF LEASED | |

| DATE | OPERATION PERFORMED, INSPECTION AND/OR REPAIR |
|------|---|
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Driver's Vehicle Inspection Report

Check ANY Defective Item and Give Details under "Remarks."

DATE: _____

| TR | UCK/TRACTOR NO | | | | | |
|---------------------------------------|---|-----|---|------|--|--|
| | Air Compressor Air Lines Battery Brake Accessories Brakes Carburetor Clutch Defroster Drive Line Engine Fifth Wheel Front Axle Fuel Tanks Heater | | Horn Lights Head – Stop Tail – Dash Turn Indicators Mirrors Muffler Oil Pressure On-Board Recorder Radiator Rear End Reflectors Safety Equipment Fire Extinguisher Flags – Flares – Fuses Spare Bulbs & Fuses Spare Seal Beam | | Springs Starter Steering Tachograph Tires Transmission Wheels Windows Windshield Wipers Other | |
| | AILER(S) NO (S). | - | Litab | _ | Tarpoulin | |
| | Brake Connections Brakes Coupling Chains Coupling (King) Pin Doors | | Hitch Landing Gear Lights – All Roof Springs | | Tarpaulin Tires Wheels Other | |
| Re | Remarks: | | | | | |
| | | | | | | |
| | Condition of the above vehicle is satisfactory | | | | | |
| Dri | Driver's Signature | | | | | |
| | Above Defects Corrected | | | | | |
| | Above Defects Need NOT Be | Cor | rected For Safe Operation Of V | ehio | cle | |
| Me | Mechanic's Signature Date | | | | | |
| Driver's Signature Driver's Signature | | | Date | | | |

Inspector Qualifications

Certification 49 CFR, Part 396.19

Motor carriers are responsible for ensuring that individuals(s) performing an annual DOT inspection under Part 396.19 are qualified as follows:

- 1. Understands the inspection criteria set forth in Part 393 and Appendix G and can identify defective components,
- 2. Is knowledgeable of and has mastered the methods, procedures, tools and equipment used when performing an inspection,
- 3. Is capable of performing an inspection by reason of experience, training, or both, and qualifies in one of the following categories (check all that apply):
- I. ____ Successfully completed a State or Federal training program or has a certificate(s) from a State or Canadian Province which qualifies the person to perform commercial vehicle inspections. Specify: _____

or

- II. Have a combination of training or experience totaling at least one year as follows (check all that apply):
- A. ____ Participation in a truck manufacture-sponsored training program or similar commercial training program designed to train students in truck operation and maintenance.
 Where and dates(s): ______
- B. ____ (years) experience as a mechanic or inspector in a motor carrier maintenance program. Name and date(s): _____
- D. ____ (years) experience as a commercial vehicle inspector for a State, Provincial, or Federal government organization.
 Name, location and date(s): ______

Date

I certify the above information is true and accurate to the best of my knowledge.

Inspector/mechanic signature

| Employer/supervisor signature | Date |
|--|------|
| Evidence of qualifications on file at: | |

Brake Inspector Qualifications

Certification 49 CFR, Part 396.25

"Brake inspector" means any *employee* of a motor carrier who is responsible for ensuring all brake inspections, maintenance, service or repairs to any commercial motor vehicle, subject to the motor carrier's control meet the applicable Federal standards.

No motor carrier shall require or permit any employee who does not meet minimum brake inspector qualifications to be responsible for the inspection, maintenance, service or repair of any brakes on its commercial motor vehicles.

Minimum Qualifications

- 1. Understands and can perform brake services and inspections,
- 2. Is knowledgeable of and has mastered the methods, procedures, tools and equipment necessary to perform brake services and inspections,
- 3. Is capable of performing brake services and inspections by reason or experience, training, or both and qualifies in one of the following categories (check all that apply):
- I. ____ Has successfully completed an apprenticeship program sponsored or approved by a State, Canadian Province, Federal agency, labor union, or has a certificate from a State or Canadian Province which qualifies the person to perform brake services or inspections.

Specify: _____

or

- II. Has brake-related training or experience or a combination thereof totaling at least one year as follows (check all that apply):
 - A. ____ Participation in a brake maintenance or inspection training program sponsored by a brake or vehicle manufacturer or similar commercial training program.
 Where and date(s): ______
 - B. ____ (years) experience performing brake maintenance or inspections in a motor carrier maintenance program.
 Name and date(s):_____
 - C. (years) experience performing brake maintenance or inspection at a commercial garage, fleet leasing company or similar facility.
 Name of facility and date(s):

I certify the above information is true and accurate to the best of my knowledge.

FMCSR RECORD RETENTION

Driver Qualifications CFR 391

| Regulation | Document | Retention Period |
|--------------|------------------------------------|---|
| 391.51 (c) | Complete driver qualification file | 3 years after date of termination |
| 391.51 (d) | Driver Applications | 3 years after date of termination |
| 391.51 (d) 4 | Medical Certificate and long form | 3 years from date of execution 3 years from date of |
| 391.51 (d) 2 | Annual Review | execution 3 years from date of |
| 391.51 (d) 3 | Certification of violations | execution 3 years from date of |
| 391.51 (d) 5 | Physical waiver | execution 3 years from date of |
| 391.51 (d) 1 | Annual motor vehicle record (MVR) | execution 3 years after date of |
| 391.23 (a) 1 | Initial MVR at time of hire | termination |

Drug and Alcohol testing Records CFR 382

| | Records of Alcohol tests with .02 or greater | - |
|---------------------|--|-------------|
| 382.401 (b)(l)(i) | result Records of driver verified positive | 5 years |
| 382.401 (b)(l)(ii) | controlled substance test results Documentation of refusals to take required | 5 years |
| 382.401 (b)(l)(iii) | alcohol and/or drug test | 5 years |
| 382.401 (b)(l)(iv) | Driver evaluations and referrals Calibration documentation to testing | 5 years |
| 382.401 (b)(l)(v) | devices | 5 years |
| 382.401 (b)(l)(vi) | Administrative records of the Alcohol controlled substance testing programs A copy of each annual calendar year | 5 years |
| 382.401 (b)(1)(vii) | summary required by 382.403(only those carriers selected) | 5 years |
| 382.401 (2) | Records relating to the alcohol and controlled substances collection process Record of negative and cancelled controlled substance results and alcohol tests with a | 2 years |
| 382.401 (3) | concentration of less than .02 Records related to the education and training of breath alcohol technicians, screening test technicians, supervisors, and drivers shall be maintained by the employer while the individual performs the functions which require the training and for *2 years | 1 year |
| 382.401 (4) | after ceasing to perform these functions | Indefinite* |

FMCSR RECORD RETENTION

Hours of Service Documentation CFR 395

| 395.8 (k) | Record of duty status and all supporting documents, and time records | 6 months | | |
|------------------------------------|--|--|--|--|
| Accident Records CFR 390 390. | 5 Accident Register and Files | 3 years after the date of the accident | | |
| Inspection and Maintenance CFR 396 | | | | |
| 396.3 (c) | Maintenance files as required by 396.3 (b) | 1 year | | |
| 396.9 (d)(3)(ii) | Copy of roadside inspections | 12 months | | |
| 396.11 (c)(2) | Driver vehicle inspection report | 3 months | | |
| 396.19 (b) | Annual inspector certification | During employment and 1 year thereafter | | |